

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U67190MH2008PTC178270

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAF3855R

(ii) (a) Name of the company

SBFC FINANCE PRIVATE LIMITE

(b) Registered office address

103, 1st Floor, C&B Square, Sangam Complex,  
Andheri Kurla Road, Village ChakalaAndheri (East)  
Mumbai  
Mumbai City  
Maharashtra  
400050

(c) \*e-mail ID of the company

companysecretary@sbfc.com

(d) \*Telephone number with STD code

02267875300

(e) Website

www.sbfc.com

(iii) Date of Incorporation

25/01/2008

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Subsidiary of Foreign Company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SBFC Holdings Pte. Ltd.		Holding	71.9

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	985,000,000	834,523,682	834,523,682	834,523,682
Total amount of equity shares (in Rupees)	9,850,000,000	8,345,236,820	8,345,236,820	8,146,763,593.5

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	985,000,000	834,523,682	834,523,682	834,523,682

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	9,850,000,000	8,345,236,820	8,345,236,820	8,146,763,593.5

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	15,000,000	0	0	0
Total amount of preference shares (in rupees)	150,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares				
Number of preference shares	15,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	150,000,000	0		

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	0	821,848,682	821848682	8,218,486,820	8,075,138,000	
<b>Increase during the year</b>	0	12,675,000	12675000	126,750,000	71,625,000	52,714,375
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	7,350,000	7350000	73,500,000	18,375,000	21,039,375
v. ESOPs	0	5,325,000	5325000	53,250,000	53,250,000	31,675,000
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0

viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
<b>At the end of the year</b>	0	834,523,682	834523682	8,345,236,8	8,146,763,	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	430	1000000	430,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			430,000,000

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	1,500,000,000	430,000,000	1,500,000,000	430,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

5,313,807,315

**(ii) Net worth of the Company**

12,615,994,641

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	776,525,282	93.05	0	
10.	Others	0	0	0	
	<b>Total</b>	776,525,282	93.05	0	0

**Total number of shareholders (promoters)**

4

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	37,622,900	4.51	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	12,500,000	1.5	0	
10.	Others ESOP Trust	7,875,500	0.94	0	



	<b>Total</b>	57,998,400	6.95	0	0
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**Total number of shareholders (other than promoters)**

44

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

48

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	4	4
Members (other than promoters)	6	44
Debenture holders	4	1

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	2	0	2	0	0
<b>B. Non-Promoter</b>	1	2	1	2	2.45	0
(i) Non-Independent	1	0	1	0	2.45	0
(ii) Independent	0	2	0	2	0	0
<b>C. Nominee Directors representing</b>	0	3	0	3	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	3	0	3	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	1	7	1	7	2.45	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

11

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NEERAJ SWAROOP	00061170	Director	0	
RAJEEV GUPTA	00241501	Director	0	18/07/2022
AMOL KRISHNA JAIN	00334710	Director	0	
JOHN MESSALL	08385575	Nominee director	0	
JONATHAN TADEUSZ	08639243	Nominee director	0	
ARJUN SAKHUJA	08669224	Nominee director	0	
ASEEM DHRU	01761455	Managing Director	20,424,000	
ASEEM DHRU	ACLPD2638J	CEO	20,424,000	
NARAYAN BARASIA	AECPB4669L	CFO	1,645,000	
JAY PRABHURAM MIS	AMHPM1670P	Company Secretar	0	
RAJESH MANNALAL A	00302467	Director	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
JAY PRABHURAM MIS	AMHPM1670P	Company Secretar	05/06/2021	Appointment
SWATI AMEY MORAJK	AQZPG2037N	Company Secretar	03/05/2021	Cessation
RAJESH MANNALAL A	00302467	Director	21/06/2021	Change in designation from Addition:

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

6

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	21/06/2021	10	8	95.51
Extra Ordinary General Meeting	26/07/2021	10	7	98.49
Extra Ordinary General Meeting	20/10/2021	10	8	98.51
Extra Ordinary General Meeting	23/12/2021	10	6	96.52
Extra Ordinary General Meeting	14/01/2022	11	6	95.79
Extra Ordinary General Meeting	14/02/2022	45	6	95.78

## B. BOARD MEETINGS

\*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/05/2021	8	7	87.5
2	26/07/2021	8	6	75
3	20/10/2021	8	5	62.5
4	11/11/2021	8	6	75
5	23/12/2021	8	6	75
6	14/02/2022	8	7	87.5

## C. COMMITTEE MEETINGS

Number of meetings held

10

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	26/05/2021	3	3	100
2	Audit Committee	22/09/2021	3	3	100
3	Audit Committee	11/11/2021	3	3	100
4	Audit Committee	14/02/2022	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
5	Nomination an	26/05/2021	4	4	100
6	Nomination an	20/10/2021	4	4	100
7	Nomination an	23/12/2021	4	4	100
8	Nomination an	29/03/2022	4	4	100
9	CSR Committe	19/01/2022	4	4	100
10	CSR Committe	23/03/2022	4	4	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	NEERAJ SWA	6	5	83.33	10	10	100	Yes
2	RAJEEV GUP	6	1	16.67	0	0	0	No
3	AMOL KRISHI	6	6	100	10	10	100	Yes
4	JOHN MESC	6	6	100	6	6	100	Yes
5	JONATHAN T	6	4	66.67	0	0	0	Yes
6	ARJUN SAKH	6	4	66.67	0	0	0	Yes
7	ASEEM DHRU	6	6	100	2	2	100	Yes
8	RAJESH MAN	6	5	83.33	8	8	100	No

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Aseem Dhru	Managing Direct	19,397,280	0	7,992,458	14,248,216	41,637,954
	Total		19,397,280	0	7,992,458	14,248,216	41,637,954

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Narayan Barasia	CFO	13,538,364	0	3,270,236	6,995,152	23,803,752
2	Swati Amey Morajka	Company Secre	77,688	0	0	4,570	82,258
3	Jay Prabhuram Mis	Company Secre	1,195,476	0	16,258	284,206	1,495,940
	Total		14,811,528	0	3,286,494	7,283,928	25,381,950

Number of other directors whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Neeraj Swaroop	Independent Dir	0	0	0	2,900,000	2,900,000
2	Rajesh Mannalal Ag	Independent Dir	0	0	0	1,600,000	1,600,000
	Total		0	0	0	4,500,000	4,500,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow  Associate  Fellow

Certificate of practice number

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**


I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director 

DIN of the director

**To be digitally signed by** 

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

Share transfer_.pdf
SBFC - MGT 8 - 2022.pdf
List of shareholders and debentureholders

**Remove attachment**

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



**LIST OF SHAREHOLDERS OF SBFC FINANCE PRIVATE LIMITED AS ON 31 MARCH, 2022**

Sr. No.	Name of Shareholder	Nominal value per share (Rs.)	DP ID – Client ID	Number of shares held	Paid Up Capital	Class of shares
1	Arpwood Partners Investment Advisors LLP	10/-	IN30154958104218	12,22,17,177	1,22,21,71,770	Equity
2	SBFC Holdings Pte. Ltd.	10/-	IN30133040008235	60,00,40,000	6,00,04,00,000	Equity
3	Eight45 Services LLP	10/-	IN30154958690241	2,42,72,805	24,27,28,050	Equity
4	Arpwood Capital Private Limited	10/-	IN30154961583822	15419790	29,99,53,000	Equity
			IN30509930006207	14575510		
5	Vistra ITCL (India) Limited, Trustee of SBFC Employee Welfare Trust	10/-	IN30021425488858	78,75,500	7,87,55,000	Equity
6	Malabar India Fund Limited	10/-	IN30014210751044	25,00,510	2,50,05,100	Equity
7	Malabar Select Fund	10/-	IN30014210751036	78,75,000	7,87,50,000	Equity
8	Malabar Midcap Fund	10/-	IN30014210767444	21,24,490	2,12,44,900	Equity
9	Mr. Aseem Dhru	10/-	IN30115113326062	2,04,24,000	5,80,61,424.5	Equity
10	Mr. Mahesh Dayani	10/	IN30061011149389	86,56,000	4,21,40,349	Equity
11	Mr. Pankaj Poddar	10/	IN30371911019902	32,75,300	2,88,15,500	Equity
12	Mr. Deepak Mudalgikar	10/	IN30154918023929	9,82,100	98,21,000	Equity
13	Mr. Manu Mahajan	10/	IN30115122483597	4,51,000	45,10,000	Equity
14	Mr. Narayan Barasia	10/	IN30302858219690	16,45,000	1,25,12,500	Equity
15	Mr. Navratan Sharma	10/	IN30154955995023	30,000	3,00,000	Equity
16	Mr. Peesapati Phane Kumar	10/	IN30021418029267	40,000	4,00,000	Equity
17	Mr. Shaileshh	10/	1208160004417090	40,000	4,00,000	Equity

**SBFC Finance Private Limited**

Registered Office: Unit No. 103, 1<sup>st</sup> Floor, C&B Square, Sangam Complex, Andheri Kurla Road, Village Chakala, Andheri (East) Mumbai - 400 059

T.: +91-22-67875300 • F: +91-22-67875334 • www.SBFC.com

CIN No: U67190MH2008PTC178270





	Nath Ranga					
18	Mr. Rama Chandra Raju Penumesta	10/	1208880005888420	40,000	4,00,000	Equity
19	Mr. Durgesh Kumar	10/	1208160005792810	40,000	4,00,000	Equity
20	Mr. Agnivesh Kumar	10/	1208160008051530	10,000	1,00,000	Equity
21	Mr. Mamidi Bhaskar Kumar	10/	IN30051322256416	40,000	4,00,000	Equity
22	Mr. Viney Vaid	10/	1601430104705560	1,00,000	10,00,000	Equity
23	Mr. Saiprashant Menon	10/	IN30154960802136	1,50,000	15,00,000	Equity
24	Mr. Rahul Rathi	10/	1208160025929400	40,000	4,00,000	Equity
25	Mr. Shashi Sarwansingh Rana	10/	IN30302866733413	40,000	4,00,000	Equity
26	Mr. Vikas Singh	10/	IN30281410646347	42,500	4,25,000	Equity
27	Mr. Shekar Vempati	10/	IN30021438656356	28,000	2,80,000	Equity
28	Mr. Kiran Kumar Lade	10/	IN30302886416547	1,00,000	10,00,000	Equity
29	Mr. Anand Falodiya	10/	1201090010441410	17,000	1,70,000	Equity
30	Mr. Amit Sharma	10/	IN30302825541074	7,500	75,000	Equity
31	Mr. Sarjeet Singh Dhaka	10/	IN30061011124253	75,000	7,50,000	Equity
32	Mr. Subhash Chander Sharma	10/	IN30302825576078	7,500	75,000	Equity
33	Mr. Rakesh Dugar	10/	1203320007116700	60,000	6,00,000	Equity
34	Mr. Avishek Kumar Agarwal	10/	IN30302852046765	60,000	6,00,000	Equity
35	Mr. Jitendra Bharti	10/	IN30429516375917	30,000	3,00,000	Equity
36	Mr. Amit Kumar Periwal	10/	IN30154955102953	5,000	50,000	Equity
37	Mr. Sanket Agrawal	10/	1208160001565540	75,000	7,50,000	Equity
38	Ms. Rhutuja Ganesh Parab	10/	IN30302825600896	10,000	1,00,000	Equity
39	Mr. Rahul M Mehta	10/	1203320010729390	8,500	85,000	Equity
40	Mr. Pradeep	10/	1201060003080180	14,000	1,40,000	Equity

**SBFC Finance Private Limited**

Registered Office: Unit No. 103, 1<sup>st</sup> Floor, C&B Square, Sangam Complex, Andheri Kurla Road, Village Chakala, Andheri (East) Mumbai - 400 059

T.: +91-22-67875300 • F: +91-22-67875334 • www.SBFC.com

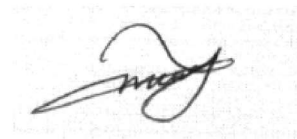
CIN No: U67190MH2008PTC178270



# SBFC

	Rathi					
41	Mr. Amit Kumar Jain	10/	IN30302825103558	40,000	4,00,000	Equity
42	Ms. Simran Kaur Matharu	10/	IN30302826274677	30,000	3,00,000	Equity
43	Mr. Kaushal Kishor	10/	1208870088948630	25,000	2,50,000	Equity
44	Mr. Ish Chawla	10/	IN30115124910617	3,60,000	36,00,000	Equity
45	Mr. Ashish Singh	10/	IN30115125513317	5,00,000	50,00,000	Equity
46	Mr. Jayesh Kedari	10/	IN30302826707177	7,500	75,000	Equity
47	Mr. Vinay Agrawal	10/	IN30371910342886	1,00,000	10,00,000	Equity
48	Mr. Arup Bhattacharya	10/	IN30281410641978	17,000	1,70,000	Equity
				<b>83,45,23,682</b>		

For SBFC Finance Private Limited




**Jay Mistry**

**Company Secretary**

Membership No. A34264

203 / Rutu Estate D5, Patlipada,  
Ghodbunder Road, Thane – West,

Pin Code - 400607

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**LIST OF DEBENTURE HOLDERS OF SBFC FINANCE PRIVATE LIMITED AS ON 31.03.2022**

Sr. No.	Name of Debenture Holder	Address of Debenture Holder	Class of Debenture Holder	Nominal value per debenture (Rs.)	Demat A/c No.	Number of Debentures held
1	AU SMALL FINANCE BANK LIMITED	CORPORATE HOUSE BLOCK 5TH FLOOR, KANAKIA ZILLION JUNCTION OF LBS AND CST ROAD KURLA WEST MUMBAI MAHARASHTRA 400070	Secured, Rated, Listed, Redeemable, Non Convertible Debentures  (ISIN: INE423Y07062)	10,00,000/-	IN300484 29626737	430
<b>Total</b>						<b>430</b>

*For SBFC Finance Private Limited*



**Jay Mistry**

**Company Secretary**

Membership No. A34264

203 / Rutu Estate D5, Patlipada,

Ghodbunder Road, Thane – West,

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**FORM NO. MGT 8**

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records and books and papers of **SBFC FINANCE PRIVATE LIMITED** (having CIN: U67190MH2008PTC178270) ('the Company') as required to be maintained under the Companies Act, 2013 ('the Act') and the Rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2022. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A.** the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately subject to reporting made hereunder in item B:
- B.** during the aforesaid financial year, the Company has generally complied with provisions of the Act and Rules made thereunder in respect of the followings subject to reporting made thereunder:
1. its status under the Act;
  2. maintenance of registers/records & making entries therein within the time prescribed thereof;
  3. filing of forms and returns with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time/ beyond prescribed time;
  4. calling/convening/holding meetings of Board of Directors and its committees, if any and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions, and resolutions passed by postal ballot, if any, have been generally recorded in the Minute Book/registers maintained for the purpose and the same have been signed;



5. closure of Register of Members/Security holders as the case may be – Not applicable;
6. advance/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
7. contracts and arrangements with related parties as specified in Section 188 of the Act;
8. issue or allotment or transfer or transmission or buy back of securities/redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/securities or issue of security certificates in all instances;
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
10. declaration/payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is generally complied with as per sub-section (3),(4) and (5) thereof;
12. constitution/appointment/reappointments/retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act wherever applicable;
15. acceptance/ renewal/ repayment of deposits ;
16. borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable;



17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act;
18. alteration of provisions of the Memorandum and Articles of Association of the Company .

For **K PRATIK & ASSOCIATES**  
Practicing Company Secretary

Date: 23<sup>rd</sup> September, 2022

Place: Mumbai



**Pratik Harshad Kalsariya**

Proprietor

Membership No. ACS-**33502**

C.P. No. **12368**

\* UDIN No. : A033502D001033921

*\* Note: Unique Document Identification Number (UDIN) is generated for this certificate and same is reported to the Institute of Company Secretaries of India and the UDIN is issued in accordance with the applicable provisions of the ICSI Unique Document Identification Number (UDIN) Guidelines, 2019.*